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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Roger Lancaster
Serial No.: 09/125,479
Filed: May 6, 1999
Group: 2162
Examiner: Y. Retta
For: UNIVERSAL CONTRACT EXCHANGE

Assistant Commissioner for Patents
Washington, D. C. 20231

Received

MAR 21 2002

Technology Center 2100

Dear Sir:

AMENDMENT AND RESPONSE TO OFFICE ACTION

In response to the Office Action mailed December 31, 2001, please amend the specification as follows:

In the Claims

Please amend claim 14 to read as follows:

14. (Once Amended) A method of operating a contract exchange to provide a market place for the trade of contracts on which options are held, the trade taking place between investors comprising the steps of:

providing a cash depositing facility comprising a computer based cash management fund having depositing accounts into which said investors deposit funds, and from which said investors assign at least part of their deposited funds,

AMENDMENT AND RESPONSE
S/N 09/125,479
Atty. Dkt. No. LAUS-24,408